



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62563

Seiji UMEMOTO, et al.

Appln. No.: 09/756,792

Group Art Unit: 2872

Confirmation No.: 6553

Examiner: Alessandro V. AMARI

Filed: January 10, 2001

For: OPTICAL PATH CHANGING POLARIZER

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached. The

INFORMATION DISCLOSURE STATEMENT

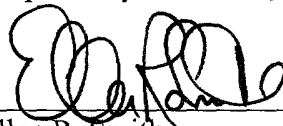
U.S. Appln. No.: 09/756,792

USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

English language abstracts are being submitted herewith for the foreign language documents.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Ellen R. Smith

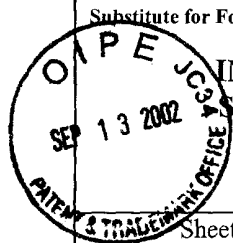
Registration No. 43,042

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Date: September 13, 2002

Attorney Docket No.: Q62679

Substitute for Form 1449 A & B/PTO



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/756,792
Confirmation Number	6553
Filing Date	January 10, 2001
First Named Inventor	Seiji UMEMOTO
Art Unit	2872
Examiner Name	Alessandro V. AMARI
Attorney Docket Number	Q62563

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
AVG		US 5,671,994		09/30/1997	TAI et al.
AVG		US 5,897,184		04/27/1999	EICHENLAUB et al.
AVG		US 2001/0010630	A1	08/02/2001	UMEMOTO
AVG		US 5,183,597		02/02/1993	LU
AVG		US 5,945,209		08/31/1999	OKAZAKI et al.
AVG		US 6,044,196		03/28/2000	WINSTON et al.
AVG		US 6,060,183		05/09/2000	HIGASHI et al.
AVG		US 6,236,439		05/22/2001	SAIKI et al.
AVG		US 2001/0011779	A1	08/09/2001	STOVER
AVG		US 6,322,236		11/27/2001	CAMPBELL et al.
AVG		US 6,384,881		05/07/2002	ARAI et al.

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
AVG		WO	97/47467		12/18/1997	MORTAZAVI et al.	
AVG		WO	97/01610		01/16/1997	GOETZ et al.	
AVG		WO	97/30373		08/21/1997	JANNSON et al.	
AVG		JP	10/106328		04/24/1998	UMEMOTO	Abstract
AVG		JP	09/297222		11/18/1997	UMEMOTO et al.	Abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Alessandro V. Amari

Date Considered

11/19/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.